

Form PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. M140-326		SERIAL NO. Not yet assigned		
LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT Clifton W. Wood, Jr.				
				FILING DATE Filed herewith		GROUP Not yet assigned		
U.S. PATENT DOCUMENTS								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
SH	AA	5,627,544	5/6/97	Soodgrass et al.			3/18/96	
	AB	5,625,628	4/29/97	Heath			3/15/95	
	AC	5,608,739	3/4/97	Soodgrass et al.			9/8/95	
	AD	5,583,850	12/10/96	Soodgrass et al.			6/21/94	
	AE	5,500,650	3/19/96	Soodgrass et al.			12/15/92	
	AF	5,479,416	12/26/95	Soodgrass et al.			9/30/93	
	AG	5,365,551	11/15/94	Soodgrass et al.			12/15/92	
	AH	4,862,453	8/29/89	West et al.			10/2/87	
	AI	5,649,296	7/13/97	MacLellan et al.	455	38.2	6/19/95	
	AJ	5,621,412	4/15/97	Sharpe et al.	342	51	6/7/95	
	AK	4,926,182	5/15/90	Obta et al.	342	44	5/29/87	
SH	AL	4,075,632	2/21/78	Baldwin et al.	343	6.8	5/24/76	
FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
SH	AM	EP 0 779 520	9/17/97	EPO	13	82	X	
	AN							
	AO							
	AP							
	AQ							
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)								
	AR							
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EXAMINER				/Soon Hyun/				
				DATE CONSIDERED 02/16/2007				
<small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>								

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PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
M140-326SERIAL NO.
09/820,467LIST OF ART CITED BY APPLICANT
(Use several sheets if necessary)APPLICANT
Clifton W. Wood, Jr.FILING DATE
March 28, 2001GROUP
1702

U.S. PATENT DOCUMENTS

Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
SH	AA 5,942,987	8/24/99	Helurich et al.			
SH	AB 5,952,922	9/14/99	Shober			
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FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation	
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	AN						
	AO						
	AP						
	AQ						

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER /Soon Hyun/

DATE CONSIDERED 02/16/2007

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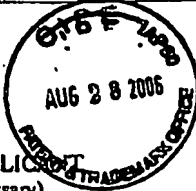
Sheet 1 of 1

Form PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. M40-326		SERIAL NO. 09/820,467		
LIST OF REFERENCES BY APPLICANT (Use several sheets if necessary)				APPLICANT: CLIFTON W. WOOD, JR.				
				FILING DATE MARCH 28, 2001		GROUP 2663		
U.S. PATENT DOCUMENTS								
*Examiner's Initials		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate	
SH	AA	5,266,925	11/30/93	VERCELLOTTI ET AL.	340	572		
SH	AB	5,988,510	11/23/99	TUTTLE ET AL.	235	492		
SH	AC	6,072,801	6/06/00	WOOD, JR., ET AL.	370	437		
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FOREIGN PATENT DOCUMENTS								
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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)								
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EV850817023 Sheet 1 of 1

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 LIST OF ART CITED BY APPLICANT (Use covered sheets if necessary)				APPLICANT: CLIFTON W. WOOD, JR.				
				FILING DATE MARCH 28, 2001		GROUP 2861		
U.S. PATENT DOCUMENTS								
Examiner's Initials		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate	
SE	AA	5,055,968	10/08/91	NISHI ET AL.	361	395		
	AB							
	AC							
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FOREIGN PATENT DOCUMENTS								
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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)								
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EXAMINER / Soon Hyun/		DATE CONSIDERED 07/21/2006						
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Sheet 1 of 1

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)						ATTY. DOCKET NO. M140-326		SERIAL NO. 09/820,467	
APPLICANT CLIFTON W. WOOD, JR.						FILING DATE MARCH 28, 2001		GROUP 2616	
U.S. PATENT DOCUMENTS EV832832829									
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate		
SH	AA	5,164,985	11/92	NYSEN ET AL.	380	9			
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	AD								
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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)									
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EXAMINER /Soon Hyun/		DATE CONSIDERED 10/23/2006							
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LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT: CLIFTON W. WOOD, JR.			
				FILING DATE MARCH 28, 2001		GROUP 2616	
U.S. PATENT DOCUMENTS				EV832833055			
*Examiner's Initials		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
SH	AA	5,142,694	08/1992	JACKSON ET AL.	455	67.11	
	AB	5,805,586	09/1998	PERREAU, ET AL.	370	346	
	AC	5,841,770	11/1998	SNODGRASS ET AL.	370	346	
	AD	5,936,560	08/1999	HIGUCHI	341	106	
	AE	5,966,471	10/1999	FISHER ET AL.	382	253	
	AF	6,061,344	05/2000	WOOD, JR., ET AL.	370	346	
	AG	6,104,333	08/2000	WOOD, JR.	341	173	
	AH	6,118,789	09/2000	WOOD, JR.	370	462	
	AI	6,282,186	08/2001	WOOD, JR.	370	346	
SH	AJ	6,289,209	09/2001	WOOD, JR.	455	277.1	
FOREIGN PATENT DOCUMENTS							
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SH	AJ	WO 97/048216	12/18/97	PCT - (WRIGHT-AT&T WIRELESS)	H04L	12/403	
	AK						
	AL						
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)							
SH	AM		ECC Report 1, "Compatibility between Inductive LF and HF RFID Transponder and Other Radio Communication Systems in the Frequency				
			Ranges 135-148.5 kHz, 4.78-8.78 MHz and 11.56-15.56 MHz" Electronic Comm. Committee, 14 pp. (2/2002)				
SH	AN		http://216.121.131.129/article/articleview/330/1/1/ ; "EPC Doesn't Infringe RFID Patents",				
			RFID Journal, 2 pp. (3/4/2003)				
SH	AO		MULLIN, Eileen, "Electronic Product Code", www.baselinemag.com , 4 pp. (printed 10/15/2003)				
EXAMINER		/S/ Scott Hyun/		DATE CONSIDERED 02/16/2007			
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U.S. PATENT DOCUMENTS					EV832833055			
Examiner's Initials	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate		
SH	AA	6,307,848	10/2001	WOOD, JR., ET AL.	370	329		
↓	AB	6,265,963	07/2001	WOOD, JR.	340	10.4		
	AC	6,226,300	05/2001	HUSH, ET AL.	370	437		
	AD	6,038,455	3/2000	GARDNER ET AL.	455	447		
	AE	5,619,648	04/1997	CANALE ET AL.	709	206		
	AF	6,216,132	4/2001	CHANDRA ET AL.	707	103R		
SH	AG	5,640,151	08/1997	REIS ET AL.	340	10.2		
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	AI							
	AJ							
FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AJ							
	AK							
	AL							
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)								
SH	AM	http://www.rfid.zebra.com/epc.htm , "Electronic Product Code (PEC), 1 page (Printed 10/15/2003)						
SH	AN	http://www.ereailnews.com/features/0105epc1.htm , "The Electronic Product Code (EPC), 2 pages (Printed 10/15/2003)						
SH	AO	http://www.ereailnews.com/Features/0105epcschema.htm , "The Electronic Product Code Schematic", 1 page (Printed 10/15/2003)						
EXAMINER		/Soon		DATE CONSIDERED		02/26/2007		
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	AD						Yes	No	
	AH								
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)									
SH	AL		http://www.aretainews.com/features/epc.htm , "The Electronic Product Code (EPC), 2 pp. (Printed 10/15/2003)						
SH	AM		http://www.rfidjournal.com/article/articleview/473/1/1/ , "Second Source of Class 1 EPC Chips",						
			RFID Journal, 2 pp. (6/26/2003)						
SH	AM		http://money.cnn.com/services/tickerheadlines/pm/dtu045.PI.09162003122727.24911.htm , "Manhattan						
			Associates Announces Next-Generation Microsoft-Based RFID Solutions", CNN MONEY, 3 pp. (9/16/2003)						
SH	AD		ENGELS, Daniel, "TECHNICAL REPORT, The Use of the Electronic Product Code", AUTO-ID Center,						
			Massachusetts Institute of Technology, 8 pp. (2/1/2003)						
SH	AP		Auto-ID Center, Technical Report, "13.56 MHz ISM Band Class 1 Radio Frequency Identification Tag Interface						
			Specification: Recommended Standard",						
SH	AR		http://www.hightechaid.com/standards/18000.htm , "ISO/IEC 18000 - RFID Air Interface Standards",						
			6 pp. (Printed 10/15/2003)						
EXAMINER / Soon		By <u>Hyun</u> CONSIDERED		02/26/2007					
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FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation
							Yes No
	AO						
	AH						
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)							
SH	AL	ISO, "Automatic Identification - Radio Frequency Identification for Item Management-Communications and Interfaces--Part 3: Physical Layer; Anti collision System and Protocol Values at 13.56 MHz MODE 4, #ISO/WD 18000-3-v40-4, 24 pp. (3/01/2001)					
SH	AM	ISO/IEC, "ISO/IEC 18000, Page 3, Information Technology AIDC Techniques - RFID for Item Management - Air Interface, Part 3, Parameters for Air Interface Communications at 13.56 MHz", #ISO IEC SC31 WG4 FCD18000-3, 176 pp. 5/27/2002)					
SH	AN	International Standard ISO/IEC, "Final Committee Draft, ISO/IEC 14443-1, Identification Cards - Contactless Integrated Circuit(s) Cards - Proximity Cards, Part 1: Physical Characteristics", 9 pp. (1997)					
SH	AO	ISO/IEC, "Final Committee Draft, ISO/IEC 14443-2, Identification Cards - Contactless Integrated Circuit(s) cards - Proximity Cards - Part 2: Radio Frequency Power and Signal Interface", Editor D. Baddeley, #ISO/IEC JTC/SC17/WG8, 16 pp. (3/26/1999)					
SH	AP	Association Française de Normalization (AFNOR), "Identification Cards - Contactless Integrated Circuit(s) Cards - Proximity Cards - Part 3: Initialization and Anticollision", ISO/IEC, #ISO/IEC FDIS 14443-3:2000(E), 48 pp. (7/13/2000)					
SH	AR	Association Française de Normalization (AFNOR), "Identification Cards - Contactless Integrated Circuit(s) Cards - Proximity Cards - Part 4: Transmission Protocol", ISO/IEC, #ISO/IEC FDIS 14443-4:2000(E), 37 pp. (7/13/2000)					
EXAMINER		/SOON		02/26/2007			
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							Yes No
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)							
SH	AL	Association Francaise de Normalization (AFNOR), "Identification Cards - Contactless Integrated Circuit(s) Cards - Vicinity Cards - Part 1: Physical Characteristics",					
		FINAL DRAFT, ISO/IEC, #ISO/IEC FDIS 15693-1:2000(E), 8 pp. (5/19/2000)					
SH	AM	Association Francaise de Normalization (AFNOR), "Identification Cards - Contactless Integrated Circuit(s) Cards - Vicinity Cards - Part 2: Air Interface and Initialization",					
		FINAL DRAFT, ISO/IEC, #ISO/IEC FDIS 15693-2:2000(E), 23 pp. (2/03/2000)					
SH	AN	ISO/IEC, "Identification Cards - Contactless Integrated Circuit(s) Cards - Vicinity Cards - Part 3: Anticollision and Transmission Protocol", ISO/IEC, #ISO/IEC CD 15693-3:1999(e), 48 pp. (11/17/1999)					
		ISO/IEC, "Automatic Identification - Radio Frequency Identification for Item Management-Communications and Interfaces-Part 3: Physical Layer, Anti-Collision System and Protocol Values at 13.56 MHz MODE 1",					
SH	AO	ISO/IEC, #ISO/WD 18000-3-v40-1, 105 pp. (3/1/01)					
		CAPETANAKIS, John I., "Tree Algorithms for Packet Broadcast Channels", IEEE Transactions on Information Theory, Vol. IT-25, No. 5, pp. 505-515 (9/1979)					
SH	AP						
	AR						
EXAMINER		/Soon		By Hynd /		02/26/2007	
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2616LIST OF ART CITED BY APPLICANT
(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
/SH/	AA	4,761,778	08/1988	HUI	370	46	
	AB	4,799,059	01/1989	GRINDAHL, ET AL.	340	870.03	
	AC	4,845,504	07/1989	ROBERTS ET AL.	342	457	
	AD	4,955,018	09/1990	TWITTY ET AL.	370	85.1	
	AE	4,969,146	11/1990	TWITTY ET AL.	370	85.1	
	AF	5,019,813	05/1991	KIP ET AL.	340	825.54	
	AG	5,025,486	06/1991	KLUGHART	455	54	
	AH	5,046,066	09/1991	MESSENGER	370	94.1	
V	AI	5,121,407	06/1992	PARTYKA ET AL.	375	206	
/SH/	AJ	5,124,697	06/1992	MOORE	340	825.53	

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AK							
	AL							
	AM							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)

/SH/	AN	EPC [™] Radio Frequency identity Protocols Class-1 Generation-2 UHF RFID Protocol for Communications at 860 MHz - 960 MHz", EPC Global, Inc. Version 1.0.9, Cover Sheet and pp. 37-38 (January 2005)
	AO	
EXAMINER /Soon Hyun/	DATE CONSIDERED 08/02/2007	

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U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
/SH/	AA	5,144,313	09/1992	KIRKNES	342	42	
	AB	5,144,668	09/1992	MALEK ET AL.	380	48	
	AC	5,150,114	09/1992	JOHANSSON	340	825.08	
	AD	5,150,310	09/1992	GREENSPUN ET AL.	364	516	
	AE	5,168,510	12/1992	HILL	375	40	
	AF	5,194,860	03/1993	JONES ET AL.	340	370	
	AG	5,231,646	07/1993	HEATH ET AL.	375	001	
↓	AH	5,307,463	4/1994	HYATT ET AL.	395	275	
/SH/	AI	5,373,503	12/1994	CHEN	370	95.2	
	AJ						

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							Yes	No
	AK							
	AL							
	AM							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)

/SH/	AN	CAPETANAKIS, John I., "Generalized TDMA: The Multi-Accessing Tree Protocol," <u>IEEE Transaction on Communications</u> , Vol. Com 27, No. 10, pp. 1476-1484 (10/1979)
/SH/	AO	WOLF, Jack Keil, "Principles of Group Testing and an Application to the Design and Analysis of Multi-Access Protocols," NATO ASI Series E, Applied Sciences, N. 91, pp. 237-257 (1985)
/SH/	AP	HUMBLET, Pierre A., et al., "Efficient Accessing of a Multiaccess Channel", <u>Proc IEEE Conference Decis Control Incl Symp Adapt Processes 1</u> , p. 624-627 (1980)

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/SH/	AA	6,707,376	03/2004	PATTERSON ET AL.	340	10.3	
	AB	6,157,633	12/5/2000	WRIGHT, ANDREW	370	349	
	AC	6,771,634	8/03/2004	WRIGHT, ANDREW	370	349	
	AD	5,974,078	10/26/1999	Tuttle et al.	375	200	
/SH/	AE	5,790,946	08/04/1998	Rotzoll	455	343	
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	AG						
	AH						
	AI						
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FOREIGN PATENT DOCUMENTS

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	AL							
	AM							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)

/SH/	AN	EP serial no. 05016513.3 ; Extended Search Report And Search Opinion; mailed 01/22/2007; 5 pp.
/SH/	AO	EP serial no. 05016514.1 ; Extended Search Report And Search Opinion; mailed 01/26/2007; 5 pp.
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